# Autoxidation Study of Carotane Sesquiterpenes Possessing a Non-Conjugated 1,4-Diene System

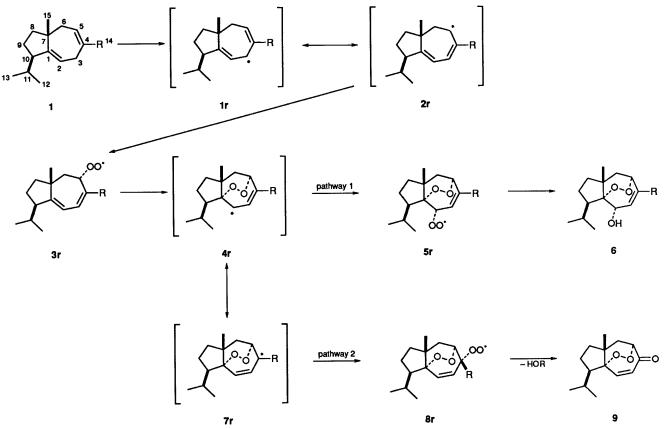
Yasuyuki Hashidoko," Satoshi Tahara<sup>b</sup> and Junya Mizutani"

<sup>a</sup> JRDC Plant Ecochemicals Project, Eniwa RBP Centre Bldg., Megumino Kita 3-chome, Eniwa-shi, Hokkaido 061-13, Japan <sup>b</sup> Department of Applied Bioscience, Faculty of Agriculture, Hokkaido University, Kita-ku, Sapporo 060, Japan

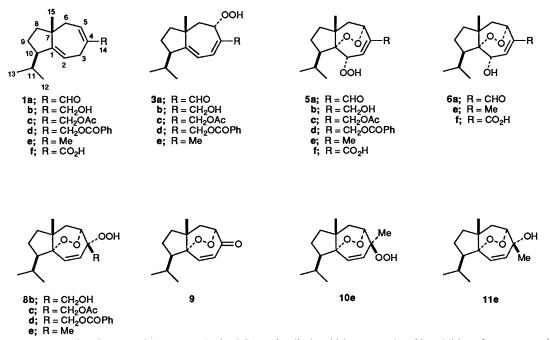
The autoxidation process of carota-1,4-diene derivatives was studied. Several intermediate compounds in the autoxidation were isolated and characterized by spectroscopic methods, and two pathways, yielding 1,5-epidioxy-2-hydroperoxy derivatives and 1,5-epidioxy-14-norcarot-2-en-4-one, respectively, were confirmed. 5-Hydroperoxy-1,3-dienes undergoing spontaneous cyclization to yield 1,5-epidioxy derivatives were isolated as key intermediates in the first oxygen-addition step, whereas 1,5-epidioxy-4-hydroperoxy derivatives were identified as precursors of 1,5-epidioxy-14-norcarot-2-en-4-one. These observations supported a unique radical-mediated peroxidation process for carota-1,4-dienes.

Rugosal A (2-hydroxy-1,5-epidioxycarot-3-en-14-al **6a**), first isolated from *Rosa rugosa* leaves, possesses a unique 1,5epidioxy linkage, which is rare for a natural peroxide.<sup>1</sup> We have also isolated carota-1,4-dien-14-al **1a** from the leaves as a precursor of rugosal A **6a**, and have demonstrated the autoxidative conversion of diene **1a** into ene **6a** via 2hydroperoxy-1,5-epidioxycarot-3-en-14-al **5a**.<sup>2</sup> This present study supports the radical-mediated reaction pathway shown in Scheme 1 (pathway 1). Since these radical intermediates (**1r**-**5r**) were labile, the corresponding hydroperoxides (**3** and **5**), with hydroperoxy groups replacing the exoperoxyl radicals, were obtained from the autoxidation mixture as stable products. To confirm that the autoxidation process occurred via 5-hydroperoxyl radicals, the 5-hydroperoxide intermediates **3b**-e from carota-1,4-diene derivatives **1b**-e were characterized.<sup>3</sup>

We have also found 1,5-epidioxy-14-norcarot-2-en-4-one 9 in a peroxide mixture from compound 1a autoxidized in organic solvents, and we proposed a conversion scheme for enone 9 under  $O_2$ -deficient conditions.<sup>2</sup> In this reaction pathway, radical transformation is expected to occur first to yield the 4-exoperoxyl radical, followed by the deformylation of the C-14 atom *via*  $\beta$ -cleavage between C-4 and C-14 to yield the norcarotane



Scheme 1 Autoxidation process to yield 1,5-epidioxy-2-hydroxycarot-3-ene derivatives 6 and 1,5-epidioxy-14-norcarot-2-en-4-one 9 from carotenes 1. Intermediate radicals 2r, 4r and 7r could not be trapped in this study.



Structures of isolated compounds Compound 3a was not obtained. Peroxyl radicals, which were produced by addition of oxygen to carbon radicals depicted in Scheme 1, give hydroperoxide products 3, 5 and 8.

derivative 9 (Scheme 1, pathway 2). However, we have not so far obtained any chemical proof of this fission process.

In this study, identification of the intermediates **3b**, **3c**, **3d**, **3e**, **5b**, **5d**, **8b**, **8c**, **8d** and **8e** using spectroscopic methods seems to validate the proposed autoxidation pathways. Here we describe the isolation and identification of these autoxidation products.

## **Results and Discussion**

Substrates as thin films on a glass wall were heated (60 °C) to autoxidize them. The product mixture obtained by the autoxidation of carota-1,4-dien-14-ol 1b was chromatographed on silica gel TLC plates. Two major products, 5-hydroperoxycarota-1,3-dien-14-ol 3b and 1,5-epidioxy-14-norcarot-2-en-4-one 9,<sup>2</sup> and a minor product, 1,5-epidioxy-2hydroperoxycarot-3-en-14-ol 5b, all of which were positive to a peroxide test (N,N-dimethyl-p-phenylenediamine sulfatewere isolated and identified spectroscopically. AcOH),<sup>4</sup> Compound 3b, derived from a key intermediate (3r) in the autoxidation pathway of substrate 1 into epidioxide 6 was successfully isolated by rapid preparative TLC (PLC) (Merck Kieselgel 60F<sub>254</sub>). This labile hydroperoxide was spontaneously converted into enone 9 and some unknown derivatives in an organic solvent over a period of several hours. Other labile peroxides, including 8b, were also obtained from the mixture, but their attempted isolation was unsuccessful.

Compound **8b**, however, precipitated as fine cubes during autoxidative degradation of compound **1b** in hexane at a low temperature. The formation of compound **8b**,  $C_{15}H_{24}O_5$ , suggested the oxygenation of the sesquiterpene skeleton with two O<sub>2</sub> molecules. The <sup>1</sup>H NMR spectrum of compound **8b** was similar to that of enone **9**, but the presence of a C-14 hydroxymethyl group was obvious from a pair of methylene protons [ $\delta_H$  3.92 and 3.78 (both d, J 12 Hz, geminal coupling)]. Two olefinic protons ( $\delta_H$  6.12 and 5.89, with J 12 Hz typical of a *cis* coupling) suggested the presence of a C-C double bond between C-2 and C-3. A methine proton signal at  $\delta_H$  4.62 showing vicinal and long-range couplings with a pair of methylene proton signals [ $\delta_H$  2.28 (J 6 Hz) and 1.89 (J 2 Hz)] and one of the olefinic protons [ $\delta_H$  5.89 (J 2 Hz)], respectively, was assigned to 5-H. The coupling patterns of these protons suggested a 1,5-epidioxy-2-ene moiety for compound **8b**. An exchangeable proton signal at  $\delta_{\rm H}$  8.46 (singlet) empirically assigned to a hydroperoxy proton<sup>2.5</sup> was also detected. Three signals for oxygenated carbons observed at  $\delta_{\rm C}$ 91.7, 91.0 (both non-hydrogen bearing) and 75.9 (CH) were assignable to C-1, -4 and -5, respectively. Thus, compound **8b** was expected to have the 1,5-epidioxy-4-hydroperoxycarot-2en-14-ol structure, and this basic structure was unambiguously confirmed for the related compounds **8d** and **8e** by C-H COSY and HMBC (heteronuclear multiple bond correlation) analyses. Peroxide **8b** was quickly degradated into enone **9** by heating (60 °C) on a glass wall or in solvents. Thus, compound **8b** was found to be a precursor of enone **9** in the thermal autoxidation.

Compound 3b was also labile. 14-Acetoxycarota-1,4-diene 1c, derived from the alcohol 1b, was therefore autoxidized to obtain a more stable 5-hydroperoxy-1,3-diene product, and subsequently a major product 3c,  $C_{17}H_{26}O_4$ , was isolated by PLC as a comparatively stable syrup (e.g., 0.9 mg from 3.0 mg of 1c). The UV  $\lambda_{max}$ (MeOH) at 262 nm and two olefinic protons  $[\delta_{\rm H} 5.97 \,({\rm d}, J \ 8 \ {\rm Hz}, \ 3-{\rm H}) \text{ and } 5.34 \,({\rm dd}, J \ 8 \ {\rm and} \ 2 \ {\rm Hz}, \ 2-{\rm H})],$ showing a vicinal coupling of olefinic protons (=CH-CH=) distinguishable from that of cis coupling (-CH=CH-, J 12 Hz for compounds 9 and 8b), confirmed its 1,3-diene structure. The exchangeable proton signal at  $\delta_{\rm H}$  8.20 (singlet) was assigned to the C-5 hydroperoxide proton.<sup>2,5</sup> A methine proton signal at  $\delta_{\rm H}$  4.59 (br ddd, J 12, 6 and 2 Hz, 5-H) coupled with a pair of C-6 methylene proton signals at  $\delta_{\rm H}$  2.41 (dd, J 13 and 12 Hz) and 2.10 (dd, J 13 and 6 Hz) confirmed the position of the 5hydroperoxide group. The carbon signals assignable to two olefinic bonds were at  $\delta_{\rm C}$  162.1, 114.2 and 134.0, and the remaining one overlapped with the deuteriated benzene peaks  $[\delta_{\rm C} \sim 128$ , the relevant signal was confirmed by the <sup>13</sup>C NMR spectrum of 14-benzoyloxy-5-hydroperoxy derivative 3d measured in CDCl<sub>3</sub> ( $\delta_{\rm C}$  128.4)]. An oxygenated sp<sup>3</sup> carbon signal at  $\delta_{\rm C}$  82.4 was appropriate for the hydroperoxylated C-5.<sup>2,5</sup> Thus, it was confirmed that 5-hydroperoxyl radical 3r was an intermediate in the peroxidation (Scheme 1). After being left in deuteriated benzene, compound 3c was gradually converted into enone 9.

Another major product,  $\mathbf{8c}$ , exhibiting a similar signal pattern to that of the alcohol  $\mathbf{8b}$  in the <sup>1</sup>H NMR spectrum, was also obtained. As compound **8c** converted spontaneously into enone **9** during the isolation process on TLC as did alcohol **8b**, 1,5-epidioxy-4-hydroperoxy substitution seemed to be necessary for precursors to enone **9**, as we have previously proposed.<sup>2</sup>

14-Benzoyloxy derivative 1d, prepared to enable us to monitor autoxidation products by UV spectroscopy, was autoxidized under the same conditions as was acetate 1c. Accordingly, a stable solid (8d) was obtained as a major product (33%) together with compounds 3d (6%), 5d (5%), and 9 (trace). Compound 8d was comparatively thermostable; however, when it was subjected to acid-catalysed peroxide rearrangement,<sup>6</sup> a small amount of compound 9 was formed.

Stereochemistry of benzoate **8d** at C-4 was elucidated as S by NOE measurements between 15-H<sub>3</sub> and 14-H<sub>2</sub>. Since the radical intermediate **7r** had a C-4 radical carbon with a delocalized  $\pi$ -electron bond,<sup>7</sup> the conformation of radical **7r** afforded the opportunity for back-side attack in the second <sup>3</sup>O<sub>2</sub> addition on the C-4 carbon to yield the exoperoxyl radical **8r**. It is likely that the front-side attack is blocked by the close proximity of the C-15 methyl group to the C-4 carbon.

Intermediate 5-hydroperoxide 3d was also more stable than acetate 3c, though it was still converted spontaneously into two types of 1,5-epidioxyhydroperoxy derivative (compounds 5d and 8d) when it was left in deuteriochloroform for a week. Compound 1d thus showed conversion into both 4-hydroperoxy and 2-hydroperoxy 1,5-epidioxides (5d and 8d) via the 5-hydroperoxy intermediate.

Autoxidation products of carota-1,4-diene (1e, non-oxygenated at C-14) prepared from (+)-carotol<sup>8</sup> were also investigated. From the product mixture, compounds 3e, 8e (31% yield), 4-hydroperoxide 10e, and alcohol 11e were identified. When the isolated hydroperoxide 3e was left in deuteriochloroform overnight, it was spontaneously converted into epidioxides 8e (>90% yield) and 10e.

The autoxidation process in non-conjugated carota-1,4-diene derivatives is suggestive of the transient occurrence of allyl radical mesomerization between the secondary radical 4r and the tertiary radical 7r.<sup>9</sup> This radical mesomerization is, however, insignificant in compound 1a and in carota-1,4-dien-14-oic acid 1f having a conjugation system on C-4, -5 and -14. Compounds 1b-e without a conjugation system on C-14 all underwent delocalization of an unpaired electron to result in formation of the corresponding postulated intermediates 7r.

The present study on autoxidation of carota-1,4-dienes thus gave some direct evidence for exoperoxyl radical-mediated cyclization resulting in 1,5-epidioxide formation from cyclic 1,4-dienes.<sup>10</sup> Since we have found that 1,5-epidioxy-2-hydroxy-carot-3-ene **6e** occurs in greater amounts than those of the C-4 oxygenated derivatives **8e** and **11e** in glandular trichome exudates of *R. rugosa* leaves,<sup>11</sup> oxidation of carota-1,4-diene derivatives in the exudate may be brought about through an alternative pathway.

#### Experimental

*General.*—<sup>1</sup>H and <sup>13</sup>C NMR spectra were measured on a JEOL EX270 spectrometer (270/68 MHz), and some 2dimensional data were taken by a Bruker AM500 (500/125 MHz). J-Values are given in Hz. EI-MS and EI-HRMS were measured on a JEOL JMS DX300 (70 eV), and FI-MS, FD-MS, and FI-HRMS were measured on a JEOL JMS 015G-2. TLC plates (Merck Kieselgel 60F<sub>254</sub>, 0.25 mm) were used for PLC. M.p.s were measured on a Micro Melting Point Apparatus (Yanaco) and are uncorrected. Derivatives **1b-d**, **3b-c**, **5b-e**, **8b-e**, **10e** and **11e** are new compounds.

Preparation of Substrate (7R,10R)-Carota-1,4-dien-14-ol 1b.—Throughout this series, (7R,10R)-carota-1,4-dien-14-al 1a, previously isolated from R. rugosa leaves, was used. An excess of NaBH<sub>4</sub> (~200 mg) was added to a solution of compound 1a (232.6 mg) in 50% EtOH-CHCl<sub>3</sub> (6 cm<sup>3</sup>), and the mixture was stirred overnight at room temperature. The concentrated reaction mixture was partitioned between distilled water (100 cm<sup>3</sup>) and EtOAc (50 cm<sup>3</sup>), and the organic layer was dried over Na<sub>2</sub>SO<sub>4</sub> followed by concentration. The product mixture was directly subjected to PLC [R<sub>f</sub> 0.48, in hexane-ethyl acetate (4:1)] to yield compound 1b as a syrup (185.3 mg, 79%); EI-HRMS (Found: M<sup>+</sup>, 220.1794.  $C_{15}H_{24}$ O requires M, 220.1827); FIMS m/z 220 (M<sup>+</sup>, 100%); EIMS m/z 220 (M<sup>+</sup>, 3.4%), 202  $(M^+ - H_2O, 14), 189 (6.5), 177 (38), 159 (53), 131 (32), 117$ (36), 105 (54), 91 (81), 81 (72), 79 (55), 67 (38), 55 (44) and 41 (100); δ<sub>H</sub>(270 MHz; C<sub>6</sub>D<sub>6</sub>; HH-COSY) 5.60 (br d, J 8, 5-H), 5.21 (ddd, J 6, 4 and 2, 2-H), 3.72 (br s, 14-H<sub>2</sub>), 2.90 (br d, J 22, 3-H<sup>a</sup>), 2.67 (ddd, J 22, 6 and 2, 3-H<sup>b</sup>), 2.39 (m, 10-H), 2.33 (br d, J 16, 6-H<sup>a</sup>), 2.02 (dd, J 16 and 8, 6-H<sup>b</sup>), 1.81 (sept d, J 7 and 5, 11-H), 1.09 (s, 15-H<sub>3</sub>), 0.95 (d, J 7, 12-H<sub>3</sub>) and 0.83 (d, J 7, 13-H<sub>3</sub>);  $\delta_{\rm C}(68$  MHz; C<sub>6</sub>D<sub>6</sub>; DEPT and CH-COSY) 152.5 (C-1), 138.1 (C-4), 123.4 (CH-5), 116.7 (CH-2), 69.5 (CH<sub>2</sub>-14), 52.9 (CH-10), 45.7 (C-7), 41.9 (CH2-8), 40.8 (CH2-6), 30.8 (CH<sub>2</sub>-3), 29.7 (CH-11), 24.1 (Me-15), 23.8 (CH<sub>2</sub>-9), 22.0 (Me-12) and 17.3 (Me-13).

Autoxidation of Compound 1b.—Compound 1b (6.4 mg) as a thin film on a glass wall was heated at 60 °C for 2 h in the dark. The reaction mixture was then collected by washing with EtOAc, and was chromatographed on PLC plates [hexane–EtOAc (1:1); cf. 1b  $R_f$  0.69]. Compounds 9 (2.9 mg, 42%), 3b (0.7 mg, 10%) and 5b (0.9 mg, 11%) were detected at  $R_f$  0.74, 0.43 and 0.08, respectively. From a hexane solution of compound 1b [~80 mg/4 cm<sup>3</sup>, containing  $\beta$ -carotene (~0.5 mg)] stored at 4 °C in the dark for 3 weeks there precipitated cubes of compound 8b [~5 mg,  $R_f$  0.26 in hexane–EtOAc (1:1)].

5-Hydroperoxycarota-1,3-diene-14-ol **3b**. A syrup; vanillinsulfuric acid test: bluish purple; *N*,*N*-dimethyl-*p*-phenylenediamine sulfate test: positive (pink);  $\lambda_{max}$ (MeOH)/nm 264;  $\delta_{H}$ (270 MHz; CDCl<sub>3</sub>; HH-COSY) 6.10 (br d, *J* 8, 3-H), 5.49 (dd, *J* 8 and 2, 2-H), 4.66 (br ddd, *J* 12, 6 and 2, 5-H), 4.41 (d, *J* 12, 14-H<sup>a</sup>), 4.16 (d, *J* 12, 14-H<sup>b</sup>), 2.60 (m, 10-H), 0.98 (d, *J* 7, 12-H<sub>3</sub>), 0.97 (s, 15-H<sub>3</sub>) and 0.76 (d, *J* 7, 13-H<sub>3</sub>).

1,5-*Epidioxy*-2-*hydroperoxycarot*-3-*en*-14-*ol* **5b**. A syrup; vanillin–sulfuric acid test: greyish light blue; *N*,*N*-dimethyl-*p*-phenylenediamine sulfate test: positive (pink);  $\delta_{\rm H}(270 \text{ MHz}; \text{CDCl}_3) 6.06 \text{ (d, } J 6, 3-\text{H}), 4.78 \text{ (d, } J 6, 2-\text{H}), 4.67 \text{ (m, 5-H}), 4.19 (br s, 14-H_2), 2.57 (sept d, J7 and 5, 11-H), 2.20 (dd, J 14 and 5, 6-H<sup>a</sup>), 0.98 (d, J 7, 12-H_3), 0.97 (s, 15-H_3) and 0.92 (d, J 7, 13-H_3).$ 

1,5-*Epidioxy*-4-*hydroperoxycarot*-2-*en*-14-*ol* **8b**. Cubes from hexane, m.p. 131–131.5 °C; vanillin–sulfuric acid test: greyish brown; *N*,*N*-dimethyl-*p*-phenylenediamine sulfate test: positive (pink); FIMS *m*/*z* 284 (M<sup>+</sup>, 69%), 268 (29), 237 (53), 236 (58), 220 (76) and 78 (100); FI-HRMS (Found: M<sup>+</sup>, 284.1630. C<sub>15</sub>H<sub>24</sub>O<sub>5</sub> requires M, 284.1624);  $\delta_{\rm H}$ (270 MHz; CDCl<sub>3</sub>) 8.46 (s, 4-OOH), 6.12 (d, *J* 12, 2-H), 5.89 (dd, *J* 12 and 2, 3-H), 4.62 (ddd, *J* 6, 2 and 2, 5-H), 3.92 (d, *J* 12, 14-H<sup>a</sup>), 3.78 (d, *J* 12, 14-H<sup>b</sup>), 2.28 (dd, *J* 15 and 6, 6-H<sup>a</sup>), 1.89 (dd, *J* 15 and 2, 6-H<sup>b</sup>), 0.97 (d, *J* 6, 12-H<sub>3</sub>), 0.90 (s, 15-H<sub>3</sub>) and 0.88 (d, *J* 6, 13-H<sub>3</sub>);  $\delta_{\rm C}$ (68 MHz; CDCl<sub>3</sub>) 132.6 (CH-2), 127.8 (CH-3), 91.7 (C-1), 91.0 (C-4), 75.9 (CH-5), 63.6 (CH<sub>2</sub>-14), 54.4 (CH-10), 43.3 (C-7), 39.7 (CH<sub>2</sub>-8), 35.0 (CH<sub>2</sub>-6), 28.9 (CH-11), 25.0 (Me-15), 24.5 (CH<sub>2</sub>-9), 23.4 (Me-12) and 19.3 (Me-13).

1,5-*Epidioxy*-14-*norcarot*-2-*en*-4-*one* **9**. A syrup; vanillinsulfuric acid test; pinkish brown; *N*,*N*-dimethyl-*p*-phenylenediamine sulfate test; positive (pink);  $\lambda_{max}$ (MeOH)/nm 227; FDMS *m*/*z* 237 (M<sup>+</sup> + 1, 100%), 236 (M<sup>+</sup>, 98) and 110 (24); FI-HRMS (Found: M<sup>+</sup>, 236.1440. C<sub>14</sub>H<sub>20</sub>O<sub>3</sub> requires M, 236.1413);  $\delta_{H}(270 \text{ MHz}; \text{CDCl}_{3}; \text{HH-COSY})$  6.85 (d, J 12, 2-H), 6.54 (dd, J 12 and 2, 3-H), 4.57 (ddd, J 5, 3 and 2, 5-H), 2.18 (dd, J 14 and 5, 6-H<sup>a</sup>), 1.91 (dd, J 14 and 3, 6-H<sup>b</sup>), 1.03 (d, J 6, 12-H<sub>3</sub>), 0.95 (d, J 6, 13-H<sub>3</sub>) and 0.72 (s, 15-H<sub>3</sub>);  $\delta_{C}(125 \text{ MHz}; \text{CDCl}_{3}; \text{DEPT}$  and CH-COSY) 204.1 (C-4), 142.6 (CH-2), 135.5 (CH-3), 93.0 (C-1), 82.6 (CH-5), 55.0 (CH-10), 44.7 (C-7), 39.5 (CH<sub>2</sub>-8), 36.5 (CH<sub>2</sub>-6), 28.8 (CH-11), 25.2 (Me-15), 24.7 (CH<sub>2</sub>-9), 23.1 (Me-12) and 19.9 (Me-13).

Preparation of (7R,10R)-14-Acetoxycarota-1,4-diene 1c.--Compound 1b (92.3 mg) was dissolved in pyridine-Ac<sub>2</sub>O (1:1) and the solution was kept at 60 °C for 2 h. The reaction mixture was diluted with an excess of toluene and was then evaporated under reduced pressure, and subjected to PLC [hexane-EtOAc (10:1)]. Subsequently, the product 1c was obtained as a syrup  $(97.6 \text{ mg}, 89\%), R_f 0.65 \text{ [hexane-EtOAc (10:1)]; FIMS } m/z 262$ (M<sup>+</sup>, 100%), 220 (18) and 202 (15); EIMS m/z 202 (22%), 187 (14), 159 (100), 131 (23), 117 (33), 105 (29), 91 (21) and 43 (45);  $\delta_{\rm H}(270 \text{ MHz}; \text{CDCl}_3; \text{HH-COSY}) 5.77 \text{ (br d, } J \text{ 8, 5-H)}, 5.22$ (ddd, J 6, 3 and 3, 2-H), 4.43 (br s, 14-H<sub>2</sub>), 2.98 (br d, J 22, 3-H<sup>a</sup>), 2.83 (br dd, J 22 and 3, 3-H<sup>b</sup>), 2.43 (m, 10-H), 2.32 (br d, J 17, 6-Ha), 2.10 (dd, J17 and 8, 6-Hb), 2.07 (s, 14-OAc), 1.85 (sept d, J 7 and 5, 11-H), 1.04 (s, 15-H<sub>3</sub>), 0.94 (d, J 7, 12-H<sub>3</sub>) and 0.79 (d, J 7, 13-H<sub>3</sub>);  $\delta_{\rm C}$ (68 MHz; CDCl<sub>3</sub>; DEPT and CH-COSY) 171.0 (14-OCOMe), 153.1 (C-1), 132.5 (C-4), 127.9 (CH-5), 115.5 (CH-2), 71.2 (CH<sub>2</sub>-14), 52.6 (CH-10), 45.4 (C-7), 41.5 (CH2-8), 40.6 (CH2-6), 30.8 (CH2-3), 29.1 (CH-11), 23.7 (Me-15), 23.5 (CH<sub>2</sub>-9), 21.8 (Me-12), 21.1 (14-OCOMe) and 17.0 (Me-13).

Autoxidation of Compound 1c.—A thin film of compound 1c (39.0 mg, from crude 1a) on a glass wall heated at 60 °C for 2 h in the dark yielded two products showing UV-quenching spots on silica gel  $60F_{254}$  plates with positive responses to peroxide reagent (*N*,*N*-dimethyl-*p*-phenylenediamine sulfate reagent).<sup>4</sup> 14-Acetoxy-5-hydroperoxycarota-1,3-diene 3c [2.3 mg as a syrup,  $R_f$  0.27, in hexane–EtOAc (6:1) c.f. 1c,  $R_f$  0.69] and compound 9 (4.1 mg,  $R_f$  0.45) were isolated by PLC. Compound 8c (5.6 mg,  $R_f$  0.09) was also isolated as a major product, whereas compound 5c ( $R_f$  0.07) was obtained as a small amount of impure syrup, but its attempted purification was unsuccessful.

14-Acetoxy-5-hydroperoxycarota-1,4-diene **3c**. N,N-Dimethyl-p-phenylenediamine sulfate test: positive (pink); FDMS m/z294 (M<sup>+</sup>, 100%); FI-HRMS (Found: M<sup>+</sup>, 294.1842. C<sub>17</sub>-H<sub>26</sub>O<sub>4</sub> requires M, 294.1855);  $\lambda_{max}$ (MeOH)/nm 262;  $\nu_{max}$ (CCl<sub>4</sub>; 0.3 mmol dm<sup>-3</sup>)/cm<sup>-1</sup> 3552 (OOH), 2960 and 1745;  $\delta_{\rm H}$ (270 MHz; C<sub>6</sub>D<sub>6</sub>; HH-COSY) 8.20 (s, 5-OOH), 5.97 (br d, J 8, 3-H), 5.34 (dd, J 8 and 2, 2-H), 4.84 (d, J 12, 14-H<sup>\*</sup>), 4.71 (d, J 12, 14-H<sup>b</sup>), 4.59 (br ddd, J 12, 6 and 2, 5-H), 2.41 (dd, J 13 and 12, 6-H<sup>a</sup>), 2.36 (br m, 10-H), 2.10 (dd, J 13 and 6, 6-H<sup>b</sup>), 1.62 (s, 14-OAc), 0.85 (d, J 7, 12-H<sub>3</sub>), 0.79 (s, 15-H<sub>3</sub>) and 0.70 (d, J 7, 13-H<sub>3</sub>);  $\delta_{\rm C}$ (68 MHz; C<sub>6</sub>D<sub>6</sub>) 170.6 (14-OCOMe), 162.1 (C-1), 134.0 (C-4), 114.2 (CH-2), 82.4 (CH-5), 68.3 (CH<sub>2</sub>-14), 52.1 (CH-10), 42.9 (C-7), 41.2 (CH<sub>2</sub>-6), 40.2 (CH<sub>2</sub>-8), 29.5 (CH-11), 22.7 (CH<sub>2</sub>-9), 21.8 (Me-12), 20.6 (14-OCOMe), 20.3 (Me-15) and 16.6 (Me-13). The C-3 carbon signal overlapped with the solvent peak.

14-Acetoxy-1,5-epidioxy-4-hydroperoxycarot-2-ene **8c**. A syrup; vanillin–sulfuric acid test: yellowish brown; *N*,*N*-dimethyl-*p*-phenylenediamine sulfate test: positive (pink);  $\delta_{\rm H}(270 \text{ MHz}; \text{CDCl}_3)$  9.12 (s, 4-OOH), 6.12 (d, *J* 12, 2-H), 5.96 (dd, *J* 12 and 3, 3-H), 4.56 (d, *J* 13, 14-H<sup>a</sup>), 4.45 (ddd, *J* 6, 2 and 2, 5-H), 4.28 (d, *J* 13, 14-H<sup>b</sup>), 2.32 (dd, *J* 15 and 6, 6-H<sup>a</sup>), 2.16 (s, 14-OAc), 1.91 (dd, *J* 15 and 2, 6-H<sup>b</sup>), 0.96 (d, *J* 6, 12-H<sub>3</sub>), 0.93 (s, 15-H<sub>3</sub>) and 0.88 (d, *J* 6, 13-H<sub>3</sub>);  $\delta_{\rm C}(68 \text{ MHz}; \text{CDCl}_3)$  172.3 (14-OCOMe), 132.0 (CH-2), 127.4 (CH-3), 90.7 (C-1), 90.3 (C-4), 74.8 (CH-5), 62.0 (CH<sub>2</sub>-14), 54.1 (CH-10), 43.3 (C-7), 39.8

 $(CH_2-8)$ , 35.6  $(CH_2-6)$ , 28.7 (CH-11), 24.8 (Me-15), 24.0  $(CH_2-9)$ , 23.3 (Me-12), 20.9 (14-OCOMe) and 19.1 (Me-13). An NOE between 15-H<sub>3</sub> and 14-H<sub>2</sub> indicated the stereostructure as 1*S*,4*S*,5*S*,7*R*,10*R*.

Preparation of (7R, 10R)-14-Benzoyloxycarota-1,4-diene 1d.-Compound 1b (93.0 mg) was mixed with benzoic anhydride-Et<sub>3</sub>N (200 mg/2 cm<sup>3</sup>) and kept at 70 °C for 2 h. The reaction mixture was then applied to TLC [hexane-EtOAc (10:1)], and pure compound 1d ( $R_f$  0.68) (90.7 mg, 66%) was obtained as a syrup. Vanillin-sulfuric acid test: purple;  $\lambda_{max}$ (MeOH)/nm 267, 273 and 280; FIMS m/z 324 (M<sup>+</sup>, 100%); FI-HRMS (Found:  $M^+$ , 324.2090.  $C_{22}H_{28}O_2$  requires M, 324.2090);  $\delta_{\rm H}(270 \text{ MHz}; \text{CDCl}_3; \text{HH-COSY}) 8.05 (dd, J 7 and 1, 2'- and$ 6'-H), 7.54 (br dd, J7 and 7, 4'-H), 7.41 (br dd, J7 and 7, 3'- and 5'-H), 5.84 (br d, J7, 5-H), 5.24 (ddd, J6, 3 and 3, 2-H), 4.67 (br s, 14-H<sub>2</sub>), 3.08 (br d, J 22, 3-H<sup>a</sup>), 2.92 (dd, J 22 and 4, 3-H<sup>b</sup>), 2.43 (br m, 10-H), 2.35 (br d, J 16, 6-H<sup>a</sup>), 2.14 (dd, J 16 and 7, 6-H<sup>b</sup>), 1.84 (sept d, J7 and 6, 11-H), 1.06 (s, 15-H<sub>3</sub>), 0.93 (d, J7, 12-H<sub>3</sub>) and 0.78 (d, J 7, 13-H<sub>3</sub>);  $\delta_{C}(68 \text{ MHz}; \text{CDCl}_{3}; \text{DEPT} \text{ and CH-}$ COSY) 166.4 (COPh), 153.0 (C-1), 132.8 (CH-4'), 132.5 (C-4), 130.4 (C-1'), 129.5 (CH-2' and -6'), 128.4 (CH-3' and -5'), 127.9 (CH-5), 115.5 (CH-2), 71.5 (CH<sub>2</sub>-14), 52.5 (CH-10), 45.4 (C-7), 41.4 (CH<sub>2</sub>-8), 40.5 (CH<sub>2</sub>-6), 30.9 (CH<sub>2</sub>-3), 29.1 (CH-11), 23.7 (Me-15), 23.4 (CH<sub>2</sub>-9), 21.7 (Me-12) and 17.0 (Me-13).

Autoxidation of Compound 1d.—Compound 1d (26.7 mg) as a thin film was kept at 60 °C for 2 h. From the reaction mixture, compounds 8d (10.6 mg, 33%), 3d (1.9 mg, 6%), 5d (1.6 mg, 5%) and unchanged 1d (14.8 mg, 55% recovery) were obtained by TLC. Some trace compounds were also detected.

14-Benzoyloxy-5-hydroperoxycarota-1,4-diene 3d. A syrup; R<sub>f</sub> 0.38 [hexane-EtOAc (4:1), cf. 1d, 0.78]; N,N-dimethyl-pphenylenediamine sulfate test: positive (pink); vanillin-sulfuric acid test: brownish purple; FIMS m/z 356 (M<sup>+</sup>, 56%), 338 (M<sup>+</sup> - H<sub>2</sub>O, 37), 237 (30), 236 (100), 234 (46), 220 (45) and 122 (56); FI-HRMS (Found: M<sup>+</sup>, 356.1987. C<sub>22</sub>H<sub>28</sub>O<sub>4</sub> requires M, 356.1988);  $\lambda_{max}$ (MeOH)/nm 263, 267 and 394;  $\delta_{H}$ (270 MHz; CDCl<sub>3</sub>; HH-COSY) 8.66 (s, 5-OOH), 8.06 (br d, J7, 2'-and 6'-H), 7.58 (br dd, J7 and 7, 4'-H), 7.45 (br dd, J7 and 7, 3'-and 5'-H), 6.20 (br d, J 8, 3-H), 5.10 (dd, J 8 and 2, 2-H), 5.05 (d, J 12, 14-H<sup>a</sup>), 4.95 (d, J 12, 14-H<sup>b</sup>), 4.70 (br dd, J 12 and 6, 5-H), 2.62 (br m, 10-H), 2.33 (dd, J 13 and 12, 6-H<sup>a</sup>), 2.14 (dd, J 13 and 6, 6-H<sup>b</sup>), 1.96 (sept d, J7 and 5, 11-H), 0.99 (s, 15-H<sub>3</sub>), 0.96 (d, J7, 12-H<sub>3</sub>) and 0.76 (d, J 7, 13-H<sub>3</sub>);  $\delta_{\rm C}$ (68 MHz; CDCl<sub>3</sub>; DEPT) 166.8 (COPh), 162.6 (C-1), 133.2 (C-4'), 132.5 (C-4), 130.1 (C-1'), 129.6 (CH-2' and -6'), 128.5 (CH-3' and -5'), 128.4 (CH-3), 113.5 (CH-2), 82.2 (CH-5), 68.1 (CH2-14), 51.9 (CH-10), 42.6 (C-7), 40.9 (CH2-6), 39.6 (CH2-8), 29.2 (CH-11), 22.4 (CH2-9), 21.6 (Me-15), 20.0 (Me-12) and 16.4 (Me-13).

14-Benzoyloxy-1,5-epidioxy-2-hydroperoxycarot-3-ene 5d. A solid;  $R_f 0.17$  [hexane-EtOAc (4:1)]; vanillin-sulfuric acid test: purplish brown; N,N-dimethyl-p-phenylenediamine sulfate test: positive (pink); FDMS m/z 389 (M<sup>+</sup> + 1, 30%), 388 (M<sup>+</sup>, 16),  $372 (43), 371 (M^+ - OH, 100), 355 (M^+ - OOH, 40) and 105$ (42); δ<sub>H</sub>(270 MHz; CDCl<sub>3</sub>; HH-COSY) 8.76 (s, 2-OOH), 8.04 (br d, J 7, 2'- and 6'-H), 7.60 (br dd, J 7, 4'-H), 7.47 (br dd, J 7 and 7, 3'- and 5'-H), 6.23 (br d, J 7, 3-H), 4.89 (br s, 14-H<sub>2</sub>), 4.80 (d, J 6, 2-H), 4.70 (dd, J 5 and 2, 5-H), 2.56 (sept d, J 7 and 2, 11-H), 2.24 (dd, J 14 and 5, 6-H<sup>a</sup>), 2.05 (m, 10-H), 1.93 (dd, J 14 and 2, 6-H<sup>b</sup>), 0.98 (d, J 7, 12-H<sub>3</sub>), 0.97 (s, 15-H<sub>3</sub>) and 0.92 (d, J 7, 13-H<sub>3</sub>);  $\delta_{\rm C}(68$  MHz; CDCl<sub>3</sub>; DEPT) 166.0 (COPh), 144.1 (C-4), 133.4 (C-4'), 130.0 (C-1'), 129.7 (CH-2' and -6'), 128.6 (CH-3' and -5'), 126.3 (CH-3), 95.9 (C-1), 81.6 (CH-2), 74.7 (CH-5), 66.1 (CH2-14), 54.4 (CH-10), 41.4 (CH2-6), 40.4 (C-7), 39.9 (CH2-8), 26.1 (CH-11), 24.8 (Me-15), 23.3 (Me-12), 20.1 (CH<sub>2</sub>-9) and 17.9 (Me-13).

14-Benzoyloxy-1,5-epidioxy-4-hydroperoxycarot-2-ene 8d.

Amorphous solid from hexane, m.p. 150-150.5 °C; R<sub>f</sub> 0.21 [hexane-EtOAc (4:1)]; vanillin-sulfuric acid test: greyish purple; N,N-dimethyl-p-phenylenediamine sulfate test: positive (pink); FDMS m/z 389 ( $M^+$  + 1, 85%), 388 (M, 100), 372 (71), 371 ( $M^+$  – OH, 95), 355 ( $M^+$  – OOH, 42) and 235 (23);  $\delta_{\rm H}(270 \text{ MHz; CDCl}_3)$  9.33 (s, 4-OOH), 8.06 (br d, J 7, 2'-6'-H), 7.61 (br dd, J7 and 7, 4'-H), 7.47 (br dd, J7 and 7, 3'- and 5'-H), 6.15 (d, J12, 2-H), 6.09 (dd, J12 and 3, 3-H), 4.81 (d, J13, 14-H<sup>a</sup>), 4.56 (ddd, J 6, 3 and 2, 5-H), 4.55 (d, J 13, 14-H<sup>b</sup>), 2.38 (dd, J 15 and 6, 6-H<sup>a</sup>), 2.02 (dd, J 15 and 2, 6-H<sup>b</sup>), 1.41 (m, 9-H<sup>b</sup>), 0.98 (s, 15-H<sub>3</sub>), 0.98 (d, J 6, 12-H<sub>3</sub>) and 0.89 (d, J 6, 13-H<sub>3</sub>);  $\delta_{\rm C}$ (68 MHz; CDCl<sub>3</sub>; DEPT and CH-COSY) 167.4 (COPh), 133.7 (CH-4'), 132.0 (CH-2), 129.9 (CH-2' and -6'), 129.1 (C-1'), 128.6 (CH-3' and -5'), 127.4 (CH-3), 90.7 (C-1), 90.5 (C-4), 76.5 (CH-5), 62.4 (CH<sub>2</sub>-14), 54.1 (CH-10), 43.3 (C-7), 39.8 (CH<sub>2</sub>-8), 35.7 (CH<sub>2</sub>-6), 28.7 (CH-11), 24.8 (Me-15), 24.0 (CH<sub>2</sub>-9), 23.3 (Me-12) and 19.1 (Me-13). When the C-15 methyl signal was irradiated, a clear NOE was observed on 14-H<sup>b</sup> (2%). This suggested that compound 8d possessed the 1S,4S,5S,7R,10R absolute configuration.

Autoxidation of Carota-1,4-diene 1e.—Compound 1e was prepared from carotol acetate as described in one of our previous papers.<sup>8</sup> A thin film of diene 1e (35.6 mg) was kept at 60 °C for 2 h, and the reaction mixture thus obtained was then subjected to PLC [hexane–EtOAc (4:1)]. Four products, 3e (0.8 mg, 2%), 8e (14.4 mg, 31%), 10e (2.5 mg, 5%) and 11e (2.4 mg, 5%), were obtained. No signals assignable to the protons of compounds 6e or 5e were detected, although minor products in the autoxidation mixture were carefully investigated by <sup>1</sup>H NMR spectroscopy.

(5S,7R,10R)-5-*Hydroperoxycarota*-1,3-*diene* **3e**. A syrup;  $R_f$  0.47 [hexane–EtOAc (4:1)]; vanillin–sulfuric acid test: greyish brown; *N*,*N*-dimethyl-*p*-phenylenediamine sulfate test: positive (pink);  $\lambda_{max}$ (MeOH)/nm 266; FIMS *m*/*z* 237 (M<sup>+</sup> + 1, 36%), 236 (M<sup>+</sup>, 100), 220 (34), 219 (37) and 218 (92); FI-HRMS (Found: M<sup>+</sup>, 236.1780. C<sub>15</sub>H<sub>24</sub>O<sub>2</sub> requires M, 236.1777);  $\delta_{H}$ (270 MHz; CDCl<sub>3</sub>) 7.44 (s, 5-OOH), 5.82 (br d, *J* 8, 3-H), 5.40 (dd, *J* 8 and 2, 2-H), 4.49 (br dd, *J* 12 and 6, 5-H), 2.59 (br m, 10-H), 2.17 (dd, *J* 13 and 12, 6-H<sup>a</sup>), 2.09 (dd, *J* 13 and 6, 6-H<sup>b</sup>), 1.91 (br s, 14-H<sub>3</sub>), 0.96 (s, 15-H<sub>3</sub>), 0.95 (d, *J* 7, 12-H<sub>3</sub>) and 0.76 (d, *J* 7, 13-H<sub>3</sub>).

(1S,4R,5S,7R,10R)-1,5-Epidioxy-4-hydroperoxycarot-2-ene 8e. A syrup;  $R_f$  0.21 [hexane-EtOAc (4:1), cf. 1e,  $R_f$  0.97]; vanillin-sulfuric acid test: purplish brown; N,N-dimethyl-pphenylenediamine sulfate test: positive (pink); FIMS m/z 269  $(M^+ + 1, 31\%)$ , 268  $(M^+, 100)$ , 252 (87), 250  $(M^+ - H_2O, 29)$ , 236 (39) and 234 (33); FI-HRMS (Found: M<sup>+</sup>, 268.1690.  $C_{15}H_{24}O_4$  requires M, 268.1674);  $\delta_{H}(270 \text{ MHz}; \text{ CDCl}_3; \text{ HH-}$ COSY) 8.62 (br s, 4-OOH), 6.02 (d, J12, 2-H), 5.86 (dd, J12 and 2, 3-H), 4.44 (ddd, J 6, 2 and 2, 5-H), 2.22 (dd, J 15 and 6, 6-H<sup>a</sup>), 1.90 (dd, J 15 and 2, 6-H<sup>b</sup>), 1.34 (s, 14-H<sub>3</sub>), 0.97 (d, J 6, 12-H<sub>3</sub>), 0.89 (s, 15-H<sub>3</sub>) and 0.88 (d, J 6, 13-H<sub>3</sub>);  $\delta_{\rm C}$  (68 MHz; CDCl<sub>3</sub>; DEPT and CH-COSY) 133.0 (CH-2), 129.8 (CH-3), 91.1 (C-1), 89.1 (C-4), 80.2 (CH-5), 54.5 (CH-10), 43.2 (C-7), 39.7 (CH<sub>2</sub>-8), 35.7 (CH2-6), 28.9 (CH-11), 25.1 (Me-15), 24.4 (CH2-9), 23.4 (Me-12), 20.0 (Me-14) and 19.4 (Me-13). When 14-H<sub>3</sub> was irradiated, NOEs on 15-H<sub>3</sub> (4.5%), 6-H<sup>b</sup> (3%), 5-H (2%) and 3-H (2.5%) were observed, while irradiation of 15-H<sub>3</sub> showed NOEs on 6-H<sup>b</sup> (2%), 2-H (0.5%) and 14-H<sub>3</sub> (4%). Thus, the stereostructure of compound 8e as (1S,4R,5S,7R,10R) was confirmed.

Compound **8e** (14.4 mg) was treated with a solution of triphenylphosphine (10 mg) in 50% EtOAc-CHCl<sub>3</sub> (3 cm<sup>3</sup>) for 3 h,<sup>12</sup> and 1,5-epidioxy-4-hydroxycarot-2-ene **11e** (8.0 mg, 59%) was obtained. (1*S*,4*R*,5*S*,7*R*,10*R*)-1,5-Epidioxy-4-hydroxy-carot-2-ene **11e** was a syrup,  $R_f$  0.26 [hexane–EtOAc (4:1)]; vanillin–sulfuric acid test: brown; *N*,*N*-dimethyl-*p*-phenylene-diamine sulfate test: positive (pink);  $\delta_H$ (270 MHz; CDCl<sub>3</sub>; HH-

COSY) 5.83 (dd, J 11 and 2, 3-H), 5.74 (d, J 11, 2-H), 4.03 (ddd, J 6, 2 and 2, 5-H), 2.95 (br s, 4-OH), 2.19 (dd, J 15 and 6, 6-H<sup>a</sup>), 1.94 (dd, J 15 and 2, 6-H<sup>b</sup>), 1.28 (s, 14-H<sub>3</sub>), 0.97 (d, J 6, 12-H<sub>3</sub>), 0.88 (d, J 6, 13-H<sub>3</sub>) and 0.85 (s, 15-H<sub>3</sub>);  $\delta_{\rm C}$ (68/125 MHz; CDCl<sub>3</sub>; DEPT, CH-COSY and HMBC) 137.8 (CH-3), 125.2 (CH-2), 91.1 (C-1), 82.5 (CH-5), 54.5 (CH-10), 42.7 (C-7), 39.7 (CH<sub>2</sub>-8), 35.2 (CH<sub>2</sub>-6), 28.9 (CH-11), 25.3 (Me-15), 24.6 (CH<sub>2</sub>-9), 23.4 (Me-12), 22.2 (Me-14) and 19.5 (Me-13). The C-4 carbon signal overlapped with solvent peaks; however, this signal was visible in C<sub>6</sub>D<sub>6</sub> at  $\delta_{\rm C}$  77.3 (C). Compound **11e** isolated from the autoxidation mixture of compound **1e** agreed in its spectroscopic properties with those of the reduction derivative from compound **8e**.

(1S,4S,5S,7R,10R)-1,5-*Epidioxy*-4-*hydroperoxycarot*-2-*ene* **10e**. A syrup;  $R_f$  0.16 [hexane–EtOAc (4:1)]; *N*,*N*-dimethyl-*p*phenylenediamine sulfate test: positive (pink); vanillin–sulfuric acid test: bluish purple; FDMS m/z 268 (M<sup>+</sup>, 72%), 252 (83), 250 (100), 236 (99), 234 (87) and 218 (63);  $\delta_H$ (270 MHz; CDCl<sub>3</sub>) 7.41 (s, 4-OOH), 5.94 (d, J11, 2-H), 5.69 (dd, J11 and 2, 3-H), 4.32 (ddd, J 6, 2 and 2, 5-H), 2.28 (dd, J 14 and 2, 6-H<sup>a</sup>), 2.07 (dd, J 14 and 6, 6-H<sup>b</sup>), 1.56 (s, 14-H<sub>3</sub>), 0.96 (d, J 6, 12-H<sub>3</sub>), 0.95 (s, 15-H<sub>3</sub>) and 0.88 (d, J 6, 13-H<sub>3</sub>).

Conversion of 5-Hydroperoxycarota-1,3-diene Derivatives 3 into 1,5-Epidioxy Derivatives.—Compound 3d (2.3 mg) kept in deuteriochloroform at 4 °C for a week spontaneously converted into compound 8d (~40% from intensity of proton peaks of 15and 13-methyl groups) and compound 5d (~10% from C-14 methylene peaks with 2'- and 6'-H aromatic protons as standard peak). About 50% of the starting material remained unchanged. Air exposure of compound 3d on dried silica gel TLC plates showed its more drastic oxidative decomposition (5–60 min), and the major oxidative product was 5d.

Compound 3e also showed a self-conversion into 1,5-epidioxy derivatives. Compound 3e was more labile than 3d and it disappeared completely in deuteriochloroform within 2 days. More than 90% of the self-conversion product was compound 8e, and its epimer 10e was also detected as a minor product ( $\sim 5\%$ ). Any signals assignable to 2-hydroperoxy-1,5-epidioxide 5e were not observed.

β-Cleavage of 1,5-Epidioxy-4-hydroperoxycarot-2-ene Derivatives 8.—Compound 8b (0.9 mg) was redissolved in EtOAc to make a thin film on a glass wall, and this was heated at 60 °C for 1 h. Most of the substrate 8b remained unchanged but 18% of the 4-hydroperoxide cleaved to yield enone 9 (from intensity of their C-15 methyl peaks). By thermal degradation at 60 °C for 3 h in deuteriochloroform (~1 mg/0.4 cm<sup>3</sup>), about half of substrate 8b was converted into enone 9. On the other hand, compound 8d was thoroughly unchanged under the degradation conditions. When compound 8d (25.1 mg) was dissolved in p-TsOH-dioxane (2 mg, 2 cm<sup>3</sup>) and kept at 65 °C overnight, however, a small amount of a single product, identified as enone 9 (0.8 mg), was obtained together with benzoic acid (0.8 mg); most of the starting material 8d remained unchanged (11.0 mg).

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